

A comparative study of vineyard phenology and pollen metrics extracted from airborne pollen time series

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Abstract Airborne pollen emission model was used to determine pollen metrics and to examine their relationship with vineyard phenology in two wine regions of Northern Portugal: Vinhos Verdes (1993–2007) and Douro (1992–2011). A number of airborne pollen metrics were obtained through the rate of changes of logistic model adjusted to the time series of airborne pollen. In both regions, the mean absolute differences between observed phenology and model-predicted values for start, peak and final of flowering phenophases were always lower than 5 days and the slope of the regression through the origin is close to one. These metrics can be used to accurately and precisely predict the dynamic of *Vitis* flowering observed at field level. The model's simplicity and

flexibility are of great advantage for its practical use in aerobiology.

Keywords Pollen emission model · Airborne pollen metrics · Pollen season · Grapevine · Phenology · Crop yield forecast

1 Introduction

Metrics based on airborne pollen time series give important information as support for a variety of scientific and technological studies and abiotic processes. These pollen metrics have been further classified as pollen quantity indices (e.g. annual or season production and peak value) and pollen timing indices like start, peak and end dates of pollen season. The terminology, determination and interpretation of the pollen metrics and their use are often discussed, and reviews can be found in several scientific articles (e.g. Helbig et al. 2004; Driessen et al. 1989).

The pollen metrics are closely associated with several aerobiological applications such as allergic airway diseases (e.g. Weger et al. 2013), land cover change (e.g. Emberlin et al. 2000), crop yield forecast (e.g. Cunha et al. 2003), conservation of biodiversity (e.g. Kuparinen et al. 2007; Fernández-Llamazares et al. 2013a) and, more recently, for assessment of the impact of climate change on vegetation dynamic (e.g. Cristofori et al. 2010; Fernández-Llamazares et al.

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2013b). The determination of metrics related to the main pollen season is also important to estimate the quantity of pollen coming effectively from the studied region avoiding pollen deposition and recirculation (e.g. Charalampopoulos et al. 2013) as well as the transported pollen from long distances (Fernández-Llamazares et al. 2013a; Estrella et al. 2006). The accurate estimation of the quantity of pollen that, effectively, comes from a determined region is particularly important for early-season estimate of crop production based on airborne pollen samples (Cunha et al. 2003; Orlandi et al. 2005).

Airborne pollen time series pattern is well known to depend on the dynamic of flowering phenophase of the surrounding vegetation (Estrella et al. 2006; Kasprzyk and Walanus 2010; Siljamo et al. 2008a; Jato et al. 2007) and the intricate effect of climate on the airborne pollen dispersion and deposition (Zhang et al. 2013; Duhl et al. 2013; Scheifinger et al. 2013). However, an open question is how sensitive are these relationships to seasonal and interannual phenology variability. It is known that pollen resuspension processes, including the rebound and the re-entrainment of pollen after deposition to the ground or on plant surface, can contribute to increased airborne pollen concentration (Jarosz et al. 2004; Helbig et al. 2004). Also, pollen coming from other regions can have a significant impact on the pollen index as well as on the increase of the length of the pollen season (Kasprzyk 2003; Rousseau et al. 2003; Cambon et al. 1992). The contribution of the pollen provided by resuspension process and long-range transport is particularly important during the start and final of the flowering season and can be mitigated by the use of only a period of the whole pollen season.

Faced with these possibilities, it is necessary to know to what temporal extent local pollen production (defined by field observations) is not the source of pollen found on the neighbouring traps. During the last 40 years, several approaches have been proposed for detecting temporal changes and extract metrics from airborne pollen time series that can be related to surrounding vegetation dynamic, for example, using daily or accumulated daily sum of pollen concentration reaching a particular threshold (Giorato et al. 2000; Sánchez Mesa et al. 2003; Feher and JaraiKomlodi 1997; Davies and Smith 1973), when the accumulated sum reaching one percentage of the total annual pollen (Helbig et al. 2004; Nilsson and Persson

1981; Andersen 1991; Galán et al. 1995; Lejoly-Gabriel and Leuschner 1983; Torben 1991; Mullenders et al. 1972; Spieksma et al. 1995) or with the aid of plants flowering earlier—indicator plants (Driessen et al. 1989). Other methods, based on computational intelligence methods (Scheifinger et al. 2013) or on temporal trajectory analysis of modelled airborne time series (Cunha et al. 2003; Pathirane 1975; Kasprzyk and Walanus 2010; Ruffaldi and Greffier 1991), have been proposed for smoothing and detecting temporal changes and respective metrics to the values of pollen.

Reviews of the merits and limitations of these techniques can be found in scientific articles (Helbig et al. 2004; Cunha et al. 2003; Driessen et al. 1989; Scheifinger et al. 2013). According to these publications, the drawback of defining the pollen metrics during the season using a fixed threshold value may imply that the season does not start at all in years with very low airborne pollen concentration and, the percentage method cannot be used until the end of season. Moreover, both criteria are specific for each *taxon* and depend on start date used for pollen counts. The indicator plants are used only for the start of the pollen season on behalf of allergic airway diseases. The present methods based on trajectory analysis need complementary complicate and tedious tests (e.g. sensitive tests, specific threshold or change trajectories) to extract the metrics from airborne time series. Moreover, only few of these methods were tested against observed phenological data in long-term studies. The restrictions of existing approaches can make the modelling of airborne pollen flows to extract metrics that could be related to the surrounding vegetation flowering phenophase challenging.

In this work, we are therefore engaged to identify the linkages between airborne pollen metrics and field observations of flowering dynamic using long time series of monitored data. The following question was addressed in this study: Is there any mathematical model adjustable to the temporal airborne pollen flows from different years and regions that can be able to explain the season and interannual variability of flowering dynamic observed at field? The results from previous works show that a logistic regression model fits well the airborne pollen results of the serial filters exposed during the *Vitis* flowering period (Cunha et al. 2003) in several regions of Portugal and Pinaceae, Poaceae, Urticaceae, *Acer*, *Platanus*, *Castanea*, Cupressaceae, *Alnus*, and *Fraxinus* (Ribeiro et al. 2007)

Fig. 1 Location of the airborne pollen traps (*black circle*), climatic characteristics and vineyard phenology

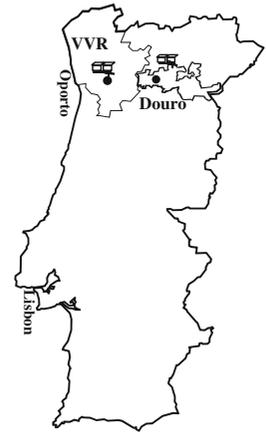
| Location and sampler Parameters | Vinhos Verdes | Douro Region |
|--------------------------------------|--|--|
| | Region | |
| Location of pollen traps | Amarante | Peso da Régua |
| Latitude; Longitude | 41°16' N 8°05' W | 41°10' N; 7°48' W |
| Altitude (a.b.s.) (m) | 110 | 65 |
| Height of pollen trap (m) | 12 | 19 |
| Airborne sampling period | 1993 – 2007 | 1992 – 2011 |
| Vineyard phenology data ^a | SF, MF and FF | MF and FF |
| Main grapes varieties | White: Loureiro, Trajadura and Pedernã | Red: Touriga Franca, Tinta Roriz and Barroca |
| Vineyard surface (ha) | 21540 | 38235 |
| Vineyard surface (%) ^b | 15.1 | 26.8 |
| Annual av. temperature (°C) | 13 – 16 | 12 – 15 |
| Annual rainfall (mm) | 1129 | 856 |
| Summer AWR (%) ^c | 22 – 55 | <20 |
| Climatic classification ^d | B ₄ B' ₂ S ₁ a' | B ₁ B' ₂ S ₂ a' |

^aSF: Start of Flowering; MF: Main Flowering; FF: Final Flowering.

^brelatively to the total of Portugal (region/Portugal x 100)

^cAvailable Water Reserve (AWR), in the first 10 days of September (end of the ripening phase).

^dAccording to Thornthwaite Climatic Classification. Hydric index: B₁ (little humid) and B₄ (very humid). Thermal efficiency index: B'₂ (mesotermic). Aridity index: Shortage of water in summer: s (moderate); r (null or small). Thermal efficiency summer concentration index: a' >20%, typically continental.



in north of Portugal (Oporto) and Olea (Ribeiro et al. 2009) in the south of Portugal (Alentejo).

The overall goal of this paper was to analyse the spatial and temporal coherence between locally observed flowering dates and the ones derived from airborne pollen counts. Although the causal relationship between the dynamic of flowering phenophase seems to be evident, there is a great lack of knowledge in this field.

For this study, we chose a long-time dataset of phenological observations and airborne pollen counts of *Vitis* used mainly for regional wine production forecast in two Portuguese main wine regions: Vinhos Verdes and Douro (Cunha et al. 2003).

2 Materials and methods

2.1 Studied area

The research was carried out in two main wine regions of northern Portugal: Vinhos Verdes region (VVR; 1993–2007) and Douro (1992–2011). The VVR is located in an area traditionally known as Entre-Douro-e-Minho. The mountainous areas in the East and South, forming the natural border between the Atlantic Entre-Douro-e-Minho and the Mediterranean inland regions, belong to the Douro region (Fig. 1).

These regions differ greatly in terms of weather, soils, grape variety, vine-growing systems, crop-

growing techniques, impact of diseases on crop size and wine yield. In the Douro region red grape varieties predominate, while in VVR the most frequent varieties are the white ones (Fig. 1).

Grapevines grown in VVR have unique characteristics, namely the form of guiding systems with wide vegetative expansion and growth high above the ground. In Douro, also known as the Port wine region, vineyards are in stony soils and the large majority planted in hillsides with steep slopes. Also, mostly in the eastern part in this region, the vineyards are located in some of the most arid regions of Europe.

According to Köppen's classification, both regions belong to the group Csb (temperate, with dry summer, which is not very hot but extensive), while Thornthwaite's rational climate classification presents different climatic indices for the studied regions (Fig. 1).

In both regions, the most significant climatic feature is an irregular annual rainfall level distributed throughout the year, mainly concentrated in winter and spring. Air temperature increases inversely to precipitation: winters are cool and wet, and hot and dry summers.

2.2 Vineyard phenology and airborne pollen sampling

The grapevine phenology observations were collected in a field located at 6 km (VVR) and 5 km (Douro) from the respective Cour trap. The grapevine

phenology was performed according to the *Baggiolini* stage scale (Baggiolini 1952). The data were obtained by observations at field levels each 3 days and registered according to the “Organisation Internationale de la vigne et du vin” (OIV) phenophase descriptors (OIV 2009). A given phenological stage was reached, and date recorded when the event occurred in 50 % of the plants in each set. In our study, only the flowering phenophase was studied as follows:

- SF: Start of flowering period (about 10 % of the flowers open)
- MF: Main flowering period (50 % of the flowers open)
- FF: Final flowering period (90 % of the flowers)

The timing of each phenological stage was averaged for the main varieties presented in Fig. 1. For the Douro region, we do not have the start of flowering period and phenological observations for 2010.

Airborne pollen was sampled using one Cour trap for each region. Their location was selected according to the average wind direction during the flowering period (Cunha et al. 2003). In the Cour technique, pollen grains are trapped on gauze filters (400 cm²) fixed vertically on a wind vane, which continually orientates the filters according to the wind direction (Cour 1974). During flowering, filters were exposed for 3 or 4 days. Airborne pollen flow (APF), obtained by the pollen captured in each filter exposed for 3 or 4 days, was expressed in number of average daily pollen grains transported per square metre of filter (pollen m⁻²).

After exposure, the pollen grains were removed from the filters using several chemical treatments including the destruction of the gauze, acetolysis and the addition of glycerol for sediment quantification. The identification and pollen count was carried out, independently of the pollen grain concentration, with ten regular traverse rows using an optical microscopic ($\times 630$).

2.3 Pollen emission model and airborne pollen metrics

A logistic regression model was fitted annually to the values of the accumulated pollen during the *Vitis* flowering period:

$$y_t = \alpha \left[1 + \exp^{-(\beta + \gamma x_t)} \right]^{-1} + e_t; \quad t = 1, \dots, n \quad (1)$$

where y_t is the amount of accumulated pollen up to day x_t referred to the DOY; α is the distance between the two asymptotes, representing the value for which the pollen emission is stabilised; β is a position parameter; γ is the parameter related to the rate of pollen increase; e_t is the random model component; and t is the natural number representing the ordinal of observations. The logistic model has an inflexion point with abscise

$$x_t = \frac{\beta}{\gamma}.$$

The parameters of the logistic model were estimated by the Levenberg–Marquardt algorithm that requires some reasonable initial values.

The logistic function (1) and its second- to fourth-order derivatives were considered to obtain the airborne pollen metrics:

$$\frac{\delta^2 y}{\delta x^2} = \alpha \gamma^2 \exp(-\beta - \gamma x) \times [\exp(-\beta - \gamma x) - 1] \times [1 + \exp(-\beta - \gamma x)]^{-3} \quad (2)$$

$$\frac{\delta^3 y}{\delta x^3} = \alpha \gamma^3 \exp(-\beta - \gamma x) \times \left[1 - 4 \exp(-\beta - \gamma x) + (\exp(-\beta - \gamma x))^2 \right] \times [1 + \exp(-\beta - \gamma x)]^{-4} \quad (3)$$

$$\frac{\delta^4 y}{\delta x^4} = \alpha \gamma^4 \exp(-\beta - \gamma x) \times \left[-1 + 11 \exp(-\beta - \gamma x) - 11 (\exp(-\beta - \gamma x))^2 + \exp(-\beta - \gamma x)^3 \right] \times [1 + \exp(-\beta - \gamma x)]^{-5} \quad (4)$$

where the parameters $\alpha > 0$ and $\gamma > 0$.

Figure 2 presents the logistic function and its second- to fourth-order derivatives with the example for Douro region in 2001.

The second derivative of the logistic function represents the acceleration of the process and could be related to the rate of pollen emission. The third and fourth derivative of the logistic function, although do not account for the biophysical process, help to define the pollen metrics.

In both regions, a number of airborne pollen metrics were obtained for each year that correspond to dates in which the rate of change in curvature of

Fig. 2 Accumulated pollen observations, estimated by the logistic model and the second- to fourth-order derivatives for the year 2001 in Douro region. The logistic model parameters for 2001 are as follows: $\alpha = 211.6$; $\beta = -28.4$; and $\gamma = 0.197$. For the figure-scale adjustment, the values of the second- to fourth-order derivatives were magnified by 10, 50 and 100 times, respectively

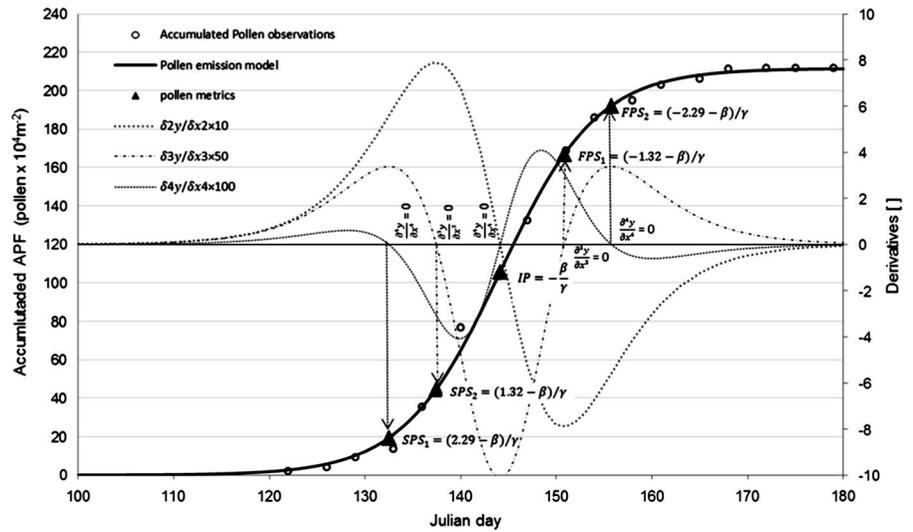


Table 1 Airborne pollen metrics extracted from the logistic model

| Derivatives | Abscissas (x_i values) | Second derivatives function point | Airborne pollen metrics |
|--|--|---|-------------------------|
| $\frac{\delta^3 y}{\delta x^3} = 0 \Rightarrow$ $-1 - 4 \exp(-\beta - \gamma x) + (\exp(-\beta - \gamma x))^2 = 0$ | $x_1 = -[\ln(2 + \sqrt{3}) + \beta]/\gamma$ | $\frac{\delta^2 y}{\delta x^2} = 0$ or maximum acceleration | SPS ₁ |
| | $x_2 = -[\ln(2 - \sqrt{3}) + \beta]/\gamma$ | $\frac{\delta^2 y}{\delta x^2} = 0$ or maximum deceleration | FPS ₁ |
| $\frac{\delta^4 y}{\delta x^4} = 0 \Rightarrow$ $-1 + 11 \exp(-\beta - \gamma x) - 11(\exp(-\beta - \gamma x))^2 + (\exp(-\beta - \gamma x))^3 = 0$ | $x_1 = -[\ln(5 + 2\sqrt{6}) + \beta]/\gamma$ | 1st inflexion point (ascending) | SPS ₂ |
| | $x_2 = -\beta/\gamma$ | 2nd inflexion point (descending) | IP or MPE |
| | $x_3 = -[\ln(5 - 2\sqrt{6}) + \beta]/\gamma$ | 3rd inflexion point (ascending) | FPS ₂ |

SPS start of pollen season, 2 metrics; MPE maximum pollen emission or inflexion point; FPS final of pollen season, 2 metrics

the logistic model occurs (Table 1): start of the pollen season (SPS), maximum pollen emission (IP or MPE) and final of pollen season (FPS). These times are inferred by the second derivative ($\delta^2 y / \delta x^2$) function local minima, maxima and inflexion points (2 ascending and 1 descending), which correspond to the zeros of the third and fourth derivatives. Therefore, for each SPS and FPS, two metrics were extracted; one matching the zeros of third derivatives (SPS₁ and

FPS₁) and the other the zeros of fourth derivatives (SPS₂ and FPS₂). Table 1 presents the algorithms used to calculate x_i values of the logistic model that make the third and fourth derivatives equal to zero and the corresponding airborne pollen metrics. The graphical representation of the relationship between the airborne pollen metrics (SPS, IP or MPE and FPS) and the changes of the second derivatives function are presented in the Fig. 2.

Outside the period between the 1st and last inflexion points of the second derivative function, the changes in y_t are very small, and apparently without practical interest for the phenology dynamics.

The statistic analyses presented in the next section were made to decide about the accuracy and precision of the referred metrics to explain the field phenological stages.

2.4 Data analysis

In order to define the most appropriated airborne pollen metrics (SPS, MPE and FF) to represent the phenological observation dates (SF, MF and FF), the following set of comparisons was made in both regions:

- SF was compared with SPS₁, MPE and SPS₂;
- MF was compared with SPS₁, SPS₂, MPE and FPS₂;
- FF was compared with SPS₂ and FPS₂.

No single parameter is sufficient to adequately assess the performance when comparing observed and model-predicted values. Nevertheless, each criterion highlighted particular aspects of comparison. In this context, several statistical procedures were used to make comparisons between airborne metrics and observed phenological data:

- The pairs from observed (phenophases) and model-predicted (metrics) dates were plotted, and a line of identity or a concordance line was drawn through the origin at 45°. In this regression line, the model-predicted values were plotted in the x -axis because they are deterministic with no random variation, whereas the observed values contain natural values.
- A paired t test was performed to test the hypothesis of no between-approaches differences. This statistical test checks only whether the mean results are the same, while the (random) differences between measurements can be large even for equal means. In order to avoid this misinterpretation, other complementary statistics tests were used to assess the agreement between approaches.
- The assessment of the between-methods agreement was also quantified with Lin's concordance correlation coefficient—CCC (Lin and Torbeck 1998). Calculations were based on an algorithm

that contains both a measure of accuracy (how far the best-fit line deviates from the concordance line) and a measure of precision (how far each observation deviates from the best-fit line). A CCC value equal to 1 represents perfect agreement, and CCC = 0 no agreement at all.

In this work, the level of between-approaches agreement was classified as follows: excellent (CCC 0.81–1.00), substantial (CCC 0.61–0.80), moderate (CCC 0.41–0.60), fair (CCC 0.21–0.40), slight (CCC 0.00–0.20) and poor (CCC < 0.00) (Landis and Koch 1977).

- Methods suggested by Altman and Bland (1971) were applied to the data to evaluate the between-approaches disagreement and the relative contribution of bias and error. The differences between observed phenophases and airborne pollen metrics dates were plotted against their average for visual assessment of bias and error to spot outliers and to see whether there was any tendency for the amount of variation to change with the magnitude of the measurements.
- A model fitting analysis comprising the mean absolute difference (MAD) and root-mean-square error (RMSE) between observed and model predicted values was performed.

3 Results

A descriptive statistics for the observed flowering and airborne pollen metrics dates for both studied regions is presented in Table 2.

The period analysed in VVR (1993–2007) is shorter than the period for Douro region (1992–2011). However, the coefficient of the variation (C.V. %) in both regions is very similar (differences < 2 %) for all the variables tested (Table 2), so we can assume that the variances of the samples are homogeneous. Our modelling assumption is that the variances of the different samples are homogeneous and the sample size difference (five observations) is reasonably small not to jeopardise the stability results.

There was a marked annual variability in the mean flowering date among and within regions. The grapevine flowering occurred between May and June, being the start, peak and final metrics dates, consistently

Table 2 Descriptive statistics of grapevine phenological stages and airborne pollen metrics for Douro and Vinho Verdes wine regions

| Statistics | Units | Phenological stages | | | | Airborne pollen metrics | | | | | |
|----------------------------------|-------|---------------------|-------|-------|-------|-------------------------|------------------|------------------|------------------|------------------|------------------------------------|
| | | SF | MF | FF | FF-SF | MPE | SPS ₂ | FPS ₁ | SPS ₁ | FPS ₂ | FPS ₂ -SPS ₁ |
| Vinhos Verdes region (1993–2007) | | | | | | | | | | | |
| Mean | DOY | 148.8 | 156.4 | 164.3 | 15.5 | 155.8 | 148.4 | 163.1 | 142.9 | 168.6 | 25.7 |
| Coef. variation | % | 10.7 | 6.8 | 6.8 | 31.1 | 7.7 | 8.3 | 7.5 | 9.0 | 7.6 | 35.6 |
| Maximum | DOY | 166.0 | 174.0 | 182.0 | 28.0 | 168.1 | 162.5 | 176.3 | 159.1 | 185.8 | 44.5 |
| Minimum | DOY | 122.8 | 131.3 | 135.0 | 10.0 | 123.1 | 115.5 | 130.7 | 109.8 | 136.4 | 14.7 |
| Douro region (1992–2011) | | | | | | | | | | | |
| Mean | DOY | – | 140.8 | 145.5 | – | 142.0 | 135.3 | 147.8 | 130.7 | 152.4 | 21.0 |
| Coef. variation | % | – | 7.1 | 7.1 | – | 7.2 | 7.3 | 7.4 | 7.4 | 7.7 | 37.4 |
| Maximum | DOY | – | 155.8 | 162.3 | – | 154.6 | 148.7 | 165.4 | 145.4 | 173.3 | 30.2 |
| Minimum | DOY | – | 112.0 | 115.0 | – | 114.6 | 109.5 | 119.0 | 106.0 | 122.5 | 12.7 |

– no data, *SF* start of flowering period, *MF* main flowering period, *FF* final flowering period, *SPS* start of pollen season, *MPE* maximum pollen emission and *FPS* final of pollen season are pollen metrics extracted from the pollen emission model (Table 1)

Table 3 Statistics of pollen emission model adjusted parameters and model fitting over the studied years

| Statistics | <i>R</i> ² | Model parameters | | |
|----------------------------------|-----------------------|------------------|---------|----------|
| | | α | β | γ |
| Vinhos Verdes region (1993–2007) | | | | |
| Mean | 0.987 | 76.5 | 31.4 | –0.201 |
| C.V. (%) | 0.820 | 55.8 | 36.7 | 35.1 |
| Minimum | 0.965 | 31.0 | 16.9 | –0.103 |
| Maximum | 0.995 | 173.1 | 49.4 | –0.313 |
| Douro region (1992–2012) | | | | |
| Mean | 0.980 | 273.0 | 33.2 | –0.227 |
| C.V. (%) | 0.921 | 44.8 | 33.1 | 32.1 |
| Minimum | 0.962 | 93.9 | 15.0 | –0.105 |
| Maximum | 0.994 | 414.5 | 57.8 | –0.380 |

earlier in Douro region than in VVR. For Douro, the mean flowering date from phenological observations was the DOY 141 and ranged from the earliest recorded in DOY 112 (1997) to DOY 156 (1993).

The over years mean duration of pollen season in Douro determined by the pollen metrics was 21 days, being 5 days shorter than in VVR. The annual fluctuations in the duration of the main pollen period indicate the overall climate in those periods. VVR is characterised by interannual variation in the main pollen period that extends from 15 days (2004) to 45 (1998) days, being the region that has the highest precipitation.

Table 3 shows the statistics of the model parameters adjusted for both regions. The logistic model accounts for more than 97 % of variation in accumulated airborne pollen model.

The model’s parameters estimated for both regions present a great variability among years. The larger differences between regions were observed for the logistic model parameter α (4.5 times more in VVR), reflecting the differences in climate conditions and particularly the location of the pollen traps (distance and height) relatively to vegetation surrounding. The other model parameters (β , γ) presented similar statistics in both regions (Table 3).

Table 4 shows a set of diagnostic tests to confirm the most appropriated metrics to be used for each field observation of flowering dynamics.

The pollen-derived flowering metrics for both regions was found to be significantly correlated with flowering dates (SF, MF and FF) observed at field level. According the statistical tests presented in the Table 4, the most appropriated airborne pollen metrics to represent the timing of SF, MF and FF field measurements in both regions are, respectively, the SPS₂, MPE and FPS₁.

The mean absolute between-approaches difference indicates that the logistic model inflection point (MPE) and the corresponding 2nd to 4th derivatives (SPF₂ and FPS₁) would be 3 days accurate for the over year start (data only available for VVR) and peak flowering dates and 5 days accurate in explaining the

Table 4 Statistics for each pair of observed grapevine phenological stages and model-predicted pollen metrics in both studied regions

| Statistics | SF | | | MF | | | | FF | |
|-------------------------------|-------------|------------------|------------------|-----------|------------------|------------------|------------------|------------------|------------------|
| | MPE | SPS ₂ | SPS ₁ | MPE | SPS ₂ | FPS ₁ | SPS ₁ | FPS ₁ | FPS ₂ |
| Vinhos Verdes region (VVR) | | | | | | | | | |
| MAD | 7.1 | 2.7 | 6.1 | 3.2 | 8.2 | 7.4 | 13.8 | 4.6 | 6.5 |
| RMSE | 8.1 | 3.0 | 6.7 | 4.0 | 8.8 | 8.7 | 14.2 | 5.2 | 7.6 |
| ICC _v | 0.772 | 0.964 | 0.847 | 0.941 | 0.751 | 0.736 | 0.545 | 0.895 | 0.798 |
| ICC _p | Substantial | Excellent | Excellent | Excellent | Substantial | Substantial | Moderate | Excellent | Substantial |
| <i>t</i> test <i>p</i> value | 0.000 | 0.605 | 0.000 | 0.539 | 0.001 | 0.001 | 0.000 | 0.404 | 0.028 |
| Slope ^a | 1.0468 | 0.9978 | 0.9616 | 0.9959 | 0.9492 | 1.0426 | 0.9146 | 0.9928 | 1.05258 |
| R ^{2a} | 0.8723 | 0.9374 | 0.9202 | 0.8824 | 0.8992 | 0.7733 | 0.8559 | 0.8152 | 0.7403 |
| Douro region | | | | | | | | | |
| MAD | – | – | – | 2.8 | 5.3 | 7.1 | 9.8 | 4.3 | 6.7 |
| RMSE | – | – | – | 3.4 | 6.5 | 8.3 | 10.7 | 5.1 | 8.3 |
| ICC _v | – | – | – | 0.940 | 0.789 | 0.731 | 0.585 | 0.884 | 0.744 |
| ICC _{Class} | – | – | – | Excellent | Substantial | Substantial | Moderate | Excellent | Substantial |
| <i>t</i> test, <i>p</i> value | – | – | – | 0.322 | 0.000 | 0.000 | 0.000 | 0.173 | 0.000 |
| Slope ^a | – | – | – | 1.0089 | 0.9623 | 1.0498 | 0.9299 | 1.0106 | 1.0418 |
| R ^{2a} | – | – | – | 0.8949 | 0.8419 | 0.8216 | 0.7951 | 0.7933 | 0.7528 |

MAD mean absolute difference, RMSE root-mean-square error, CCC concordance correlation coefficient

^a Slope and R² of the regression through zero. Best results are in italics

final of flowering compared with that observed in surrounding fields in both regions.

Testing of the hypothesis of zero means or no bias showed that observed approach gives results that are not significantly different from the selected airborne metrics. The regression through zero presents high R² (>0.80) and a slope close to 1. For all pairs of comparisons, the CCC is always rated as excellent.

The differences between each pair of phenological and best derived pollen metrics are always lower than 10 days (Fig. 3). For the VVR with the 14 annual records, the between-approaches differences in 93 % (for the SF-SPS₂) and 86 % (MF and MPE) were below 5 days. For the final of the flowering period, 36 % of the cases present between-approaches differences between 5 and 10 days. The between-approaches differences for the final of the flowering period in 37 % of the cases were between 5 and 10 days (Fig. 3).

In Douro region, for the studied period 1992 to 2011 with 19 annual records (lack of data for 2010), descriptive statistics show, for the timing of the main flowering period, that 84 % of the cases had between-approaches differences below 5 days and only in three

observations occurred differences higher than 5 days but lower than 10 days.

Differences between each pair of phenological observation and model-predicted values of flowering phenophases plotted against corresponding mean (Fig. 4) spread on both sides of the “0” line. However, it is noticeable that a great number of observed MF (6/13) and FF (8/11) differences in Douro are below the “0” line, suggesting a tendency for the observed phenological approach to provide early dates.

In VVR, the SF and FF present similar number of observations on both sides of the “0” line, but in 9/5 of the years, the FPS occurred earlier than the observed FF. However, the time span between these events was lower than 5 days.

4 Discussion

As stated by Scheifinger et al. (2013), there is an increased importance of a more comprehensive understanding of the relationship between airborne pollen time series and the dynamic of the surrounding vegetation in supporting simulate regional pollen

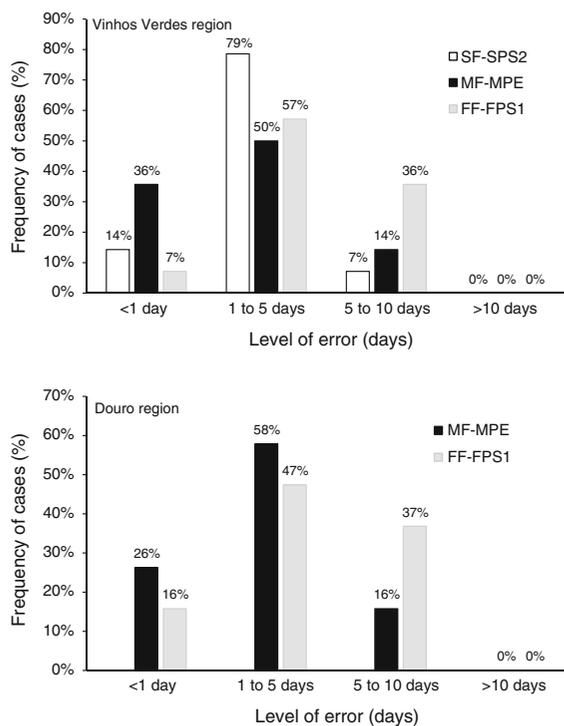


Fig. 3 Frequency levels of errors between each pair of phenological and derived pollen metrics

dispersal within the framework of sophisticated regional air-quality models. In this context, modelling efforts have been made to forecast the pollen metrics via meteorological data and/or field observation of the flowering phenophase. These models are either based on simple regression of phenological and aerobiological observations (e.g. Jato et al. 2007; Kasprzyk and Walanus 2010), or they generate daily pools of pollen available for release (emission potentials) into atmosphere using physical principles of transport and meteorological parameters such temperature, precipitation and wind (Siljamo et al. 2008b; Martin et al. 2009; Zhang et al. 2013; Brighetti et al. 2014).

To our knowledge, there is not yet a well-established method for treating pollen emissions in regional forecasting models. However, sensitivity studies suggest that the estimation of the pollen source is a major factor of uncertainty for simulated airborne pollen time series (Zhang et al. 2013; Scheifinger et al. 2013). Therefore, as outlined by Estrella et al. (2006), these regional forecasting models might be restricted in its practical application, if most of the airborne pollen collected on the trap does not originate from the

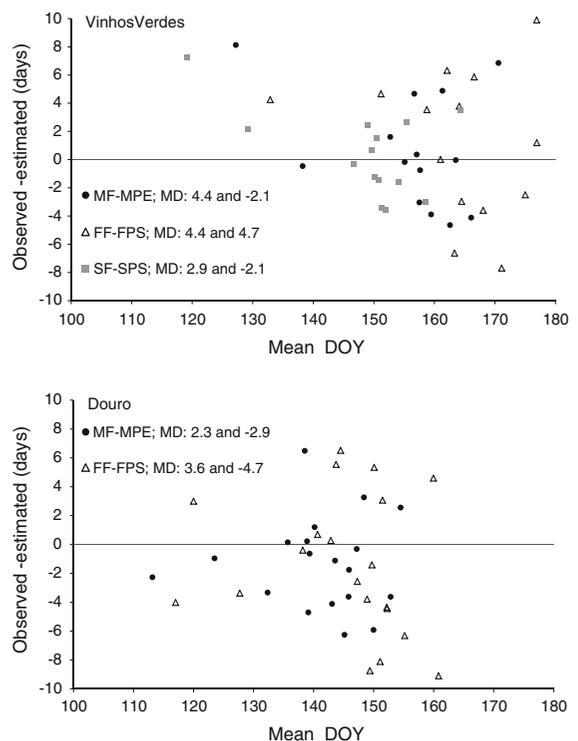


Fig. 4 Disagreement between dates (days) of each pair of phenological observations and pollen metrics (SF-SPS, MF-MPE and FF-FPS) plotted against their average mean dates (DOY) in both studied region. MD is the mean difference of positive and negative cases, respectively

surrounding vegetation. Hence, the airborne metrics extracted by the logistic model, herein presented as prediction tool of observed phenology, could be a significant contribution towards improving the accuracy of simulate regional pollen dispersal.

The results show that the logistic regression model fits well the airborne pollen results of the serial filters exposed during the *Vitis* flowering period in two regions in northern Portugal. However, the proposed logistic model should be obviously open to further confirmation and refinement with a view to providing a more universal application in the future, with regard not only to the tested taxa in Portugal environment but also to other pollen grains and particular features of the sampling site involved.

The pollen metrics extracted by the logistic pollen emission model are an expedient and reliable mean to estimate the beginning and the final of pollen season that also allows to avoid the contribution from pollen deposition and recirculation as well as from the

transport from long distances. One important factor in crop forecasting models is the knowledge of the real amount of pollen that will be directly involved in the onset of local pollination. Hence, our results may be also used to improve the accuracy of these forecast models through the accurate definition of the quantity of regional pollen used.

We consider the proposed method useful for comparing the beginning and duration of pollen season among years, but not appropriated to determine the start of pollen season on behalf of allergic airway diseases, because this model can only be used in retrospect. Also, contrary to crop forecasting, the contribution of pollen recirculation and long-distance transport can have important impact on respiratory allergy (Veriankaite et al. 2010).

The logistic model's parameters estimated for both regions presented a great variability among years. Since the vineyard surface was relatively constant over the studied period, these parameters' variability could be related to the number of flowers and climate conditions.

The developed model proved to be accurate and precise in defining the main flowering phenophase of the vineyards surrounding the pollen trap in both regions. Using the phenological observations dataset as the benchmark, airborne pollen-derived metrics data within the same region are compared for year-to-year data. Despite the great differences among regions in terms of biophysical characteristics and the number of observations, each pair of phenological and derived pollen metrics approaches presents similar pattern for the frequency of timing differences in days. Results indicate that between-approaches mean absolute differences were always lower than 5 days for estimating the start, peak and final flowering dates in both regions. The final flowering stage in 37 % of the cases presented between-methods differences of 5–10 days, being the phenophase with higher between-approaches timing differences.

Under the current parameterisation scheme, the model tends to underestimate the timing of MF and FF in Douro region and tends to overestimate the date of FF in VVR. This is presumed to result from the temporal non-alignment between modelled pollen seasons at large scale and observed phenology in small plots or because there are additional *Vitis* pollen in the domain which were not included in the model. However, this tendency should be relativised

according the low differences between approaches. The results of field phenology observations were assumed as more accurate than the results measured by the airborne pollen analysis. So the measures of accuracy and precision provided are in relation to this dataset which is known to have its own inaccuracies (close to what was produced here).

The results indicate that the logistic model inflection point would be about 90 % accurate in predicting the main flowering date variability over years, which is strong evidence that the pollen emission model satisfactorily predicts this phenophase. The flowering date marks the transition from vegetative to the reproductive crop physiological process and also the start of fruit development period, a crucial stage for crop modelling and field operations. Moreover, this date is of particular interest since it determines any advance or delay in crop cycle (e.g. Osborne et al. 2000). For example, the date of flowering is important to determine the date of harvest, because there is a constant number of temperature units (°C day) accumulated during the day between flowering and harvest (Cunha et al. 2010).

Often, more important than the actual date of each phenological event is the interval between events, which gives an indication of the overall climate and physiology process during those periods. Long seasons indicate less than ideal climate conditions during the flowering period and/or heterogeneity of flowering dates that can affect negatively the grape quantity. Short intervals between pollen metrics can be associated with optimum conditions for pollen emission, transport and deposition as well as a uniformity of flowering dates.

5 Conclusion

In this work, the airborne pollen metrics of *Vitis* extracted from a logistic model were compared against field measurements of flowering dynamics using a long time series of data. The airborne pollen-derived metrics for Vinhos Verdes and Douro regions were found to be significantly correlated with flowering dates (start, main and final flowering) observed at field level.

The results support the hypothesis that the logistic model fits well the airborne time series from different years and regions. The rate of changes of the logistic

model inferred by derivatives is an expedient and reliable means to achieve airborne pollen metrics and to explain the surrounding vegetation dynamics. Therefore, our approach based on temporal trajectory analysis of modelled airborne time series, capable of separating out changes at different points in time with respect to vegetation dynamic, proved to be adequately flexible to capture these features and the important biophysical and geospatial information contained therein.

While this airborne pollen metric approach of flowering dynamic can only give indications of causal relationships on potential climatic growth and physiological impact, they provide the catalyst for causal hypothesis generation, namely for the pollen metrics crop size and quality interactions, which could be tested where other data sources are available. The quantification of the relative impact of these myriad factors on the flowering dynamics is still a huge challenge for developing strategies for the crop size and quality forecasting, as well as other applications of aerobiology.

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